

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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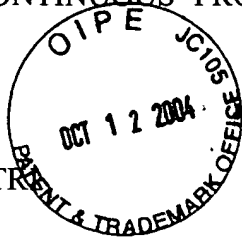
Applicant: Mark L. Jenson

Title: METHOD OF CONTINUOUS PROCESSING OF THIN-FILM BATTERIES AND LIKE DEVICES

Docket No.: 1327.009US2

Filed: March 29, 2004

Examiner: MULPURI, SAVITRI



Serial No.: 10/813,321

Due Date: N/A

Group Art Unit: 2812

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

We are transmitting herewith the following attached items (as indicated with an "X"):

X This transmittal document and a return postcard.

X An Information Disclosure Statement (2 pages), Form 1449 (9 pages), copies of 2 cited documents

**Additional documents NOT enclosed, cited in parent application.**

If not provided for in a separate paper filed herewith, Please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 502931.

LEMAIRE PATENT LAW FIRM, P.L.L.C.  
P.O. Box 11358  
CUSTOMER NUMBER 40064  
952-278-3501

DATE OCTOBER 5, 2004

BY Charles A. Lemaire  
CHARLES A. LEMAIRE  
REG. NO. 36,198

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 5<sup>th</sup> day of October, 2004.

JASON D. SMITH  
Name

[Signature]  
Signature

(GENERAL)



S/N 10/813,321

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	Mark L. Jenson	Examiner:	MULPURI, SAVITRI
Serial No.:	10/813,321	Group Art Unit:	2812
Filed:	March 29, 2004	Docket:	1327.009US2
Title:	METHOD OF CONTINUOUS PROCESSING OF THIN-FILM BATTERIES AND LIKE DEVICES		

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicant respectfully requests that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicant requests that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicant with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 502931 in order to have this Information Disclosure Statement considered.

Pursuant to 37 C.F.R. §1.98(d), copies of the listed documents are not provided as these references were previously cited by or submitted to the U.S. Patent Office in connection with Applicant's prior U.S. application, Serial No. 09/816,603, filed on March 23, 2001, which is relied upon for an earlier filing date under 35 U.S.C. §120.

INFORMATION DISCLOSURE STATEMENT

Serial No :10/813,321

Filing Date: March 29, 2004

Title: METHOD OF CONTINUOUS PROCESSING OF THIN-FILM BATTERIES AND LIKE DEVICES

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Dkt: 1327.009US2

The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

MARK L. JENSON

By his Representatives,

LEMAIRE PATENT LAW FIRM, P.L.L.C.

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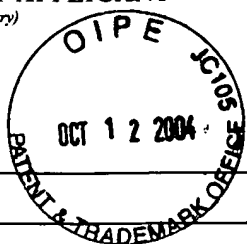
JASON D. SMITH  
Name

[Signature]  
Signature

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Sheet 1 of 9

Complete if Known

Application Number	10/813,321
Filing Date	March 29, 2004
First Named Inventor	Jenson, Mark
Group Art Unit	2812
Examiner Name	MULPURI, SAVITRI

Attorney Docket No: 1327.009US2

**US PATENT DOCUMENTS**

Examiner Initial *	Cite No.	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	1	2001 0014398	08/16/2001	Veerasamy, V. S.	428	408	03/15/2001
	2	2001 0051300	12/13/2001	Moriguchi, Koji , et al.	429	231.8	04/16/1999
	3	2002 0000034	01/03/2002	Jenson, M. L.	29	623.5	03/23/2001
	4	2002 0037756	03/28/2002	Jacobs, H. T., et al.	455	572	03/23/2001
	5	2002 0076616	06/20/2002	Lee, S. , et al.	429	300	01/29/2002
	6	US-4,207,119	06/10/1980	Tyan, Y.	136	89 TF	06/02/1978
	7	US-4,299,890	11/10/1981	Rea, Jesse R., et al.	429	124	11/10/1981
	8	US-4,333,808	06/08/1982	Bhattacharyya, A., et al.	204	192 D	02/13/1981
	9	US-4,353,160	10/12/1982	Armini, A., et al.	29	572	11/24/1980
	10	US-4,365,107	12/21/1982	Yamauchi, Yutaka	136	258	02/19/1981
	11	US-4,440,108	04/03/1984	Little, Roger G., et al.	118	719	09/24/1982
	12	US-4,481,265	11/06/1984	Ezawa, M. , et al.	429	9	06/08/1983
	13	US-4,520,039	05/28/1985	Ovshinsky, S.	427	35	09/23/1982
	14	US-4,633,129	12/30/1986	Cuomo, J., et al.	313	153	04/30/1985
	15	US-4,654,726	02/24/1987	Hiratani, M., et al.	429	191	11/21/1985
	16	US-4,684,848	08/04/1987	Kaufman, H., et al.	315	111.81	10/15/1985
	17	US-4,730,383	03/15/1988	Balkanski, Minko	29	623.5	05/05/1986
	18	US-4,832,463	05/23/1989	Goldner, R., et al.	350	357	09/08/1987
	19	US-4,740,431	04/26/1988	Little, Roger G.	429	9	12/22/1986
	20	US-4,862,032	08/29/1989	Kaufman, H., et al.	313	359.1	10/20/1986

**EXAMINER****DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449A)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant. \* Applicant's unique citation designation number (optional) \* Applicant is to place a check mark here if English language Translation is attached

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<b>Application Number</b>	10/813,321
<b>Filing Date</b>	March 29, 2004
<b>First Named Inventor</b>	Jenson, Mark
<b>Group Art Unit</b>	2812
<b>Examiner Name</b>	MULPURI, SAVITRI

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Attorney Docket No: 1327.009US2

	21	US-5,017,550	05/21/1991	Shioya, Jun , et al.	505	1	03/30/1988
	22	US-5,022,930	06/11/1991	Ackerman, B., et al.	136	251	06/20/1989
	23	US-5,051,274	09/24/1991	Goldner, R., et al.	427	38	09/11/1989
	24	US-5,064,520	11/12/1991	Miyake, K., et al.	204	192.11	02/14/1990
	25	US-5,089,104	02/18/1992	Kanda, N., et al.	204	192.11	12/03/1990
	26	US-5,098,737	03/24/1992	Collins, C., et al.	427	53.1	05/09/1990
	27	US-5,115,378	05/19/1992	Tsuchiya, Y., et al.	361	502	02/11/1991
	28	US-5,151,848	09/29/1992	Finello, D.	361	502	08/24/1990
	29	US-5,171,413	12/15/1992	Arntz, F., et al.	204	192.15	09/16/1991
	30	US-5,180,645	01/19/1993	More, Georgina	429	127	03/01/1991
	31	US-5,189,550	02/23/1993	Goldner, R., et al.	359	273	12/13/1991
	32	US-5,192,947	03/09/1993	Neustein, Simon	340	825.44	02/02/1990
	33	US-5,202,196	04/13/1993	Wang, L. , et al.	429	86	04/26/1991
	34	US-5,261,968	11/16/1993	Jordan, John F.	136	244	01/13/1992
	35	US-5,273,837	12/28/1993	Aitken, B., et al.	249	30	12/23/1992
	36	US-5,296,122	03/22/1994	Katsube, T., et al.	204	298.04	02/18/1992
	37	US-5,314,765	05/24/1994	Bates, John B.	429	194	10/14/1993
	38	US-5,338,625	08/16/1994	Bates, J., et al.	429	193	07/29/1992
	39	US-5,348,703	09/20/1994	Bishop, A., et al.	420	590	10/11/1991
	40	US-5,393,572	02/28/1995	Dearnaley, G.	427	523	07/11/1994
	41	US-5,411,592	05/02/1995	Ovshinsky, S., et al.	118	718	06/06/1994
	42	US-5,425,966	06/20/1995	Winter, C., et al.	427	255.1	10/27/1994

**EXAMINER****DATE CONSIDERED**

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Application Number	10/813,321
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First Named Inventor	Jenson, Mark
Group Art Unit	2812
Examiner Name	MULPURI, SAVITRI

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Attorney Docket No: 1327.009US2

	43	US-5,426,561	06/20/1995	Yen, S. , et al.	361	502	03/31/1994
	44	US-5,445,906	08/29/1995	Hobson, D., et al.	429	162	08/03/1994
	45	US-5,448,110	09/05/1995	Tuttle, J., et al.	257	723	09/14/1993
	46	US-5,449,994	09/12/1995	Armand, M., et al.	320	14	02/12/1992
	47	US-5,455,126	10/03/1995	Bates, J., et al.	429	127	05/25/1994
	48	US-5,468,521	11/21/1995	Kanai, M., et al.	427	575	11/02/1994
	49	US-5,482,611	01/09/1996	Helmer, et al.	204	298.17	10/08/1993
	50	US-5,501,175	03/26/1996	Tanaka, S., et al.	117	108	07/01/1994
	51	US-5,501,924	03/26/1996	Swierbut, W., et al.	429	224	06/07/1995
	52	US-5,512,147	04/30/1996	Bates, J., et al.	204	192.15	05/25/1994
	53	US-5,528,222	06/18/1996	Moskowitz, P., et al.	340	572	09/09/1994
	54	US-5,529,671	06/25/1996	Debley, W., et al.	204	192.34	07/27/1994
	55	US-5,536,333	07/16/1996	Foote, J., et al.	136	260	08/15/1995
	56	US-5,549,989	08/27/1996	Anani, A.	429	193	12/20/1993
	57	US-5,558,953	09/24/1996	Matsui, T., et al.	429	194	10/07/1994
	58	US-5,561,004	10/01/1996	Bates, J., et al.	429	162	02/25/1994
	59	US-5,567,210	10/22/1996	Bates, J., et al.	29	623.5	07/12/1994
	60	US-5,569,520	10/29/1996	Bates, J.	429	162	06/07/1995
	61	US-5,569,564	10/29/1996	Swierbut, W., et al.	424	224	06/07/1995
	62	US-5,571,749	11/05/1996	Matsuda, K. , et al.	437	113	12/28/1994
	63	US-5,585,999	12/17/1996	De Long, H., et al.	361	505	09/30/1994
	64	US-5,593,551	01/14/1997	Lai, Kwok F.	204	192.12	02/28/1995

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Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)	<i>Complete if Known</i>	
	<b>Application Number</b>	10/813,321
	<b>Filing Date</b>	March 29, 2004
	<b>First Named Inventor</b>	Jenson, Mark
	<b>Group Art Unit</b>	2812
	<b>Examiner Name</b>	MULPURI, SAVITRI
Sheet 4 of 9	Attorney Docket No: 1327.009US2	

	65	US-5,597,660	01/28/1997	Bates, John B., et al.	429	191	05/25/1994
	66	US-5,599,644	02/04/1997	Swierbut, W., et al.	429	224	06/07/1995
	67	US-5,601,652	02/11/1997	Mullin, Richard S., et al.	118	723 EB	08/03/1989
	68	US-5,612,152	03/18/1997	Bates, John B., et al.	429	152	04/17/1996
	69	US-5,626,976	05/06/1997	Blanton, G., et al.	429	9	07/24/1995
	70	US-5,644,207	07/01/1997	Lew, Ark L., et al.	320	5	04/16/1996
	71	US-5,654,084	08/05/1997	Egert, Charles M.	428	215	07/22/1994
	72	US-5,695,873	12/09/1997	Kumar, B., et al.	428	325	06/05/1995
	73	US-5,695,885	12/09/1997	Malbi, Satwinder	429	7	12/06/1995
	74	US-5,705,293	01/06/1998	Hobson, David O.	429	162	01/09/1997
	75	US-5,763,058	06/09/1998	Isen, Irvin, et al.	428	209	10/07/1995
	76	US-5,830,331	11/03/1998	Kim, Taesun E., et al.	204	192.15	09/23/1994
	77	US-5,863,337	01/26/1999	Neuman, G., et al.	118	718	06/05/1995
	78	US-5,868,914	02/09/1999	Landsbergen, J.F. et al.	204	298.06	04/12/1996
	79	US-5,872,080	02/16/1999	Arendt, P. N., et al.	505	238	04/19/1995
	80	US-5,935,727	08/10/1999	Chiao, Yi-HUng	429	32	04/10/1997
	81	US-5,978,207	11/02/1999	Anderson, W., et al.	361	311	10/30/1997
	82	US-5,981,107	11/09/1999	Hamano, Kouji, et al.	429	231.95	12/15/1997
	83	US-5,995,006	11/30/1999	Walsh, Brian J.	340	572.7	01/15/1996
	84	US-6,002,208	12/14/1999	Maishev, Yuri, et al.	315	111.9	07/02/1998
	85	US-6,033,471	03/07/2000	Nakanishi, H., et al.	117	108	01/21/1997
	86	US-6,037,717	03/14/2000	Maishev, Yuri, et al.	315	111.91	01/04/1999

EXAMINER

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<b>First Named Inventor</b>	Jenson, Mark
<b>Group Art Unit</b>	2812
<b>Examiner Name</b>	MULPURI, SAVITRI

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Attorney Docket No: 1327.009US2

	87	US-6,042,687	03/28/2000	Singh, Vikram , et al.	156	345	06/30/1997
	88	US-6,056,857	05/02/2000	Hunt, T. J., et al.	204	192.15	08/13/1997
	89	US-6,059,847	05/09/2000	Farahmandi, C. J., et al.	29	25.03	07/26/1996
	90	US-6,086,962	07/11/2000	Mahoney, L. J., et al.	427	577	02/03/1999
	91	US-6,094,292	07/25/2000	Goldner, R., et al.	359	265	02/20/1998
	92	US-6,103,412	08/15/2000	Hirano, Shinichi , et al.	429	24	05/12/1998
	93	US-6,110,620	08/29/2000	Singh, Deepika , et al.	429	223	09/30/1997
	94	US-6,130,507	10/10/2000	Maishev, Yuri , et al.	315	111.81	09/28/1998
	95	US-6,133,159	10/17/2000	Vaartstra, B. A., et al.	438	758	08/27/1998
	96	US-6,136,165	10/24/2000	Moslehi, Mehrdad M.	204	298.06	11/26/1997
	97	US-6,139,964	10/31/2000	Sathrum, Paul E., et al.	428	408	06/06/1995
	98	US-6,147,354	11/14/2000	Maishev, Yuri , et al.	250	423 R	07/02/1998
	99	US-6,153,067	11/28/2000	Maishev, Yuri , et al.	204	298.04	12/30/1998
	100	US-6,181,545	01/30/2001	Amatucci, G., et al.	361	502	09/24/1998
	101	US-6,203,944	03/20/2001	Turner, R. L., et al.	429	218.1	03/26/1998
	102	US-6,222,117	04/24/2001	Shiozaki, Atsushi	136	256	12/29/1998
	103	US-6,236,061	05/22/2001	Walpta, Lakshaman	257	40	01/08/1999
	104	US-6,264,709	07/24/2001	Yoon, Young S., et al.	29	623.5	07/24/2001
	105	US-6,277,523	08/21/2001	Giron, Jean-Christophe	429	304	03/27/1997
	106	US-6,280,875	08/28/2001	Kwak, B. L., et al.	429	162	03/24/1999
	107	US-6,475,854	11/05/2002	Narwankar, P. K., et al.	438	238	12/21/2000
	108	US-6,576,369	06/10/2003	Moriguchi, K. , et al.	429	231.8	08/24/1998

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<b>Examiner Name</b>	MULPURI, SAVITRI

Sheet 6 of 9

Attorney Docket No: 1327.009US2

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>
	109	DE-19948742	12/28/2000	Scherber, W , et al.	H01G	9/058	
	110	EP-0078404	05/11/1983	Craig, D. R.	H01M	14/00	
	111	EP-0410627	01/30/1991	Okuno, S. , et al.	C23C	14/08	
	112	EP-0643544	08/30/1994	Ludwig, Hans	H04Q	7/32	
	113	EP-0860888	08/26/1998	Allen, R. J., et al.	H01M	8/10	
	114	EP-0867752	09/30/1998	Giron, Jean-Christophe	G02F	1/15	
	115	GB-2318127	04/15/1998	Nadir, Abdul G., et al.	C23C	14/06	
	116	JP-03205757	09/09/1991	Hayakawa, Takumi	H01M	2/10	
	117	JP-03262697	11/22/1991	Watanabe, Takanori	B42D	15/10	
	118	JP-06067018	03/11/1994	Yokoe, Katsuhiko	G02B	5/28	
	119	JP-06223805	08/12/1994	Murata, Kazuo	H01M	2/22	
	120	JP-07-050229	02/21/1995	Katsumi, Y.	H01G	9/028	
	121	JP-07006933	01/10/1995	Yoshino, Katsumi	H01G	9/00	
	122	JP-07057739	03/03/1995	Ezaka, Yukio	H01M	4/88	
	123	JP-08017179	01/19/1996	Kagawa, Hiroshi	G11B	33/12	
	124	JP-08236105	09/13/1996	Kirimura, Hiroya	H01M	4/04	
	125	JP-08287901	11/01/1996	Ogata, Kiyoshi	H01M	4/04	
	126	JP-08293310	05/11/1996	Kawashima, Takeshi	H01M	8/02	
	127	JP-09035233	02/07/1997	Ishikawa, Junko	G11B	5/66	
	128	JP-09211204	08/15/1997	Mochizuki, Kiyoto	G02B	1/11	

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	<b>Examiner Name</b>	MULPURI, SAVITRI
Sheet 7 of 9	Attorney Docket No: 1327.009US2	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>
	129	JP-10021896	01/23/1998	Muranaka, Tadashi	H01M	2/22	
	130	JP-10021933	01/23/1998	Fujitani, Yasuyuki	H01M	4/86	
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	<b>Application Number</b>	10/813,321
	<b>Filing Date</b>	March 29, 2004
	<b>First Named Inventor</b>	Jenson, Mark
	<b>Group Art Unit</b>	2812
	<b>Examiner Name</b>	MULPURI, SAVITRI
Sheet 8 of 9		Attorney Docket No: 1327.009US2

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Examiner Initials*	Cite No	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>
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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional) <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached